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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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DEC 15 2000

TECH CENTER 1600/2900

Applicants: Bruce Joseph ROSER et al.

Serial No.: 09/623,495

Filing Date: September 18, 2000

For: AMORPHOUS GLASSES FOR STABILISING SENSITIVE  
PRODUCTS

TRANSMITTAL OF SMALL ENTITY DECLARATION

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Attached hereto is another Small Entity Declaration for the  
above-referenced application. We request that this Small Entity  
Declaration be made of record in the above application.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By: \_\_\_\_\_

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Atty. Docket No. P65952US0  
Date: December 6, 2000  
JCH:crj

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Attny's Docket No. P65972HS0  
PATENT & TRADEMARK OFFICE 1600, 2903

**SMALL ENTITY DECLARATION**  
[37 CFR 1.9(c-f)]

Each undersigned declares that:

- (1) ☐ the application attached hereto.  
(2) ☒ U.S. Application Serial No. 09/623,495, filed September 18, 2000  
(3) ☐ U.S. Patent No. \_\_\_\_\_ Issued \_\_\_\_\_

is entitled to the benefits of "small entity" status for paying reduced fees under 35 USC 41(a) and (b) to the Patent and Trademark Office by virtue of the following:

- (4) ☐ Each undersigned declares that he/she qualifies as an independent inventor, or would qualify had he/she made the as defined in 37 CFR 1.9(c).  
(5) ☒ The undersigned declares that he/she is an official empowered to act on behalf of the concern identified below; that this concern qualifies as a small business concern as defined in 37 CFR 1.9(d); that exclusive rights to the invention have been conveyed to and remain with the small business concern, or if the rights are not exclusive, that all other rights belong to small entities as defined in 37 CFR 1.9.  
(6) ☐ The undersigned declares that he/she is an official empowered to act on behalf of the organization identified below; that organization qualifies as a nonprofit organization as defined in

- (a) ☐ 37 CFR 1.9(e)(1)  
(b) ☐ 37 CFR 1.9(e)(2)  
(c) ☐ 37 CFR 1.9(e)(3)  
(d) ☐ 37 CFR 1.9(e)(4) State law of \_\_\_\_\_

that exclusive rights to the invention have been conveyed to and remain with the organization, or if the rights are not exclusive, that all other rights belong to organizations as defined in 37 CFR 1.9.

(7) Each person, concern or organization to which I/we have assigned, granted, conveyed or licensed, or am under an under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- (a) ☒ no such person, concern or organization  
(b) ☐ persons, concerns or organization listed below

[a separate declaration is required from each named person, concern or organization having rights to this invention averring to their status as "small entities."]

Full Name \_\_\_\_\_

Address \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I/we acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement of small entity prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I/we hereby declare all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

(8) 

_____ Typed Name of Inventor	_____ Signature	_____ Date
_____ Typed Name of Inventor	_____ Signature	_____ Date
_____ Typed Name of Inventor	_____ Signature	_____ Date
_____ Typed Name of Inventor	_____ Signature	_____ Date

(9) 

_____ Name of Small Business Concern or Nonprofit Organization		_____ Date
<u>Peter RONAI</u> Typed Name	By <u>Peter Ronai</u> Signature	<u>11/16/00</u> Date
_____ Title of Signatory		